

Terms of Reference (TOR) for Consultancy Services

Scoping study: Evaluating the Structure and Opportunities of VDVKs in Strengthening the NTFP Value Chain

| Title | Evaluate the structure, gaps, and opportunities of Van Dhan Vikas Kendras (VDVKs) in strengthening the Non-Timber Forest Products (NTFP) value chain.s | |
|----------------------------|--|--|
| Timeline | 2 months | |
| Expected area of expertise | Research and Analysis, Data Collection and Synthesizing, Analytics based recommendation, Sustainability and Climate/ Livelihoods, NTFP | |
| Apply Link | https://forms.gle/xWnEN3UddcECjMUf7 (Contact Procurement for Form Link) | |
| Last Date for Apply | 07 th January, 2025 | |

Overview

Call for proposal: Research and Documentation

Focused Geography: Karnataka, Odisha, Maharashtra, Assam

Researchers and Agencies can apply for one state only to ensure state-specific focus and analysis.

Agencies can apply for 2 states if they can provide details of separate state teams.

About SELCO Foundation:

SELCO Foundation's mission is to create a platform of solutions that uses sustainable energy as a catalyst to bridge environmental sustainability and poverty alleviation. With holistic development as the primary focus, the organization strives to create equitable societies, where services are accessed by all communities. The interventions of SELCO lead to a sustainable delivery model of essential services like livelihoods, education, and health till the last mile. (Read more about SELCO here: http://www.selcofoundation.org/)

1. Background:

SELCO Foundation is actively exploring opportunities within the NTFP value chain. The aim is to develop climate smart solutions and build an ecosystem that enhances localized processing, diversifies household incomes, reduces drudgery, and ensures accessibility for forest-dependent communities. VDVKs have been identified as a pivotal structure supporting NTFP-based livelihoods and tribal communities. This scoping study will analyse their current operations, identify challenges, and explore opportunities to strengthen their role in the NTFP value chain. These insights will guide SELCO Foundation's interventions in the sector.

Non-Timber Forest Products (NTFPs) are a critical source of livelihood for over 300 million forest-dependent individuals in India, particularly tribal communities. NTFPs contribute nearly 70% of forest product income for tribal households. The **Pradhan Mantri Van Dhan Yojana (PMVDY)**, launched in 2018, aims to strengthen tribal micro-enterprises by establishing VDVKs. To date, over 50,000 VDVKs have been set up across 27 states, engaging 300,000 tribal gatherers.

2. Goal and Objective:

Goal:

VDVKs function as community-managed centres facilitating the collection, processing, and marketing of NTFPs. Despite their potential, they face several challenges, including inadequate infrastructure, limited technology adoption, financial constraints, and weak market linkages. This study aims to evaluate the structure and functioning of VDVKs and identify ways SELCO Foundation's climate smart solutions can integrate with these centres to enhance their operational capacity and impact on PVTGs and other tribal/ forest dwelling communities.

Objective:

- Map all VDVKs in the study geography, their activities, and alignment with NTFP development
- Understand the current structure, governance, and operational strategies, of VDVKs, and interministerial processes.
- Identify and prioritize key NTFP value chains managed by VDVKs based on geography, village, or community.
- Conduct stakeholder mapping, including government bodies, NGOs, CBOs, MFIs, and FIs, and their ongoing interventions linked to NTFP activities with VDVKs.
- Analyse the gaps, challenges, and opportunities within the VDVK ecosystem, focusing on operational efficiency and value chain development.
- Provide actionable recommendations for integrating SELCO's goals into VDVK priorities and activities.
- Analyse the PMVDY policy and its implementation impact

Scope of the study

Focused Geography: Karnataka, Odisha, Maharashtra, Assam

For each focused state required following activities

1. Identification and prioritizing the Production Clusters & VDVKs:

- Develop a comprehensive list of VDVKs and Common Facility Centers (CFCs) under each cluster.
- Document NTFPs collected, processed, and marketed by these centers.
- Grade VDVKs based on potential and relevance to NTFP value chain activities.
- Map governance structures and implementation agencies.
- Evaluate supply chain processes, key players, and bottlenecks.

2. Analysis:

- Examine existing business models, profit margins, and market accessibility of VDVKs.
- Compare incomes with and without value addition.

- Evaluate the role of nodal agencies in implementation.
- Analyse seasonality patterns and develop strategies for year-round production.
- Document case studies of champion VDVKs.
- Explore the feasibility of Decentralized Renewable Energy (DRE) and technology requirements and gaps in champion VDVK sites.

3. List of Activities:

Data Collection and Analysis:

Conduct primary and secondary research to assess VDVK structures, governance, and operational strategies and collect data on NTFP activities, including collection, processing, and marketing mechanisms practiced under VDVKs.

Mapping and Prioritization:

Map all VDVKs in the study geographies, categorizing them by their activities, stakeholder networks, resource availability etc. and identify priority NTFP value chains they are working with. Analyse them for gaps, challenges, and potential for value addition.

• Stakeholder Engagement:

Engage with government bodies, NGOs, SHGs, CBOs, and FIs to map their roles and contributions to VDVK operations. Assess ongoing government and institutional programs that can strengthen the VDVK framework.

• Gap and Opportunity Assessment:

Identify critical challenges faced by VDVKs, including infrastructure, capacity, technology adoption, and market connectivity. Highlight opportunities to integrate sustainable, energy-efficient technologies and other activities to strengthen VDVK operations.

• Strategic Recommendations:

Develop a roadmap to align VDVK activities with SELCO Foundation's goals and provide recommendations for scaling up NTFP-based livelihoods through innovative technologies and ecosystem collaborations.

4. Deliverables:

1. Inception Report: Secondary review and work plan.

2. Comprehensive Report:

- a. Analysis of VDVKs' structure, operations, and stakeholder mapping.
- b. Assessment of gaps, challenges, and opportunities.
- c. Recommendations for technology integration and market development.
- d. A prioritization framework for NTFP value chains and VDVKs.
- e. Strategic roadmap for SELCO Foundation's interventions.
- 3. Crop Calendars: Year-round income sustainability plans.
- 4. Business Model Evaluation: Profitability assessment and improvement suggestions.
- 5. Presentation Deck: Summarizing key findings and recommendations for stakeholders.
- 6. Raw Data Compilation: Surveys, interviews, and field observations for future reference.

5. Timeline: 2 months

Preparation (Week 1): Criteria for Selecting VDVKs

Selection criteria for VDVKs should balance representativeness, operational diversity, and geographic spread. Suggested criteria include:

- **Geographic Diversity:** Cover the VDVKs, at least two from the priority areas of focused state to ensure representation from major NTFP-producing regions (e.g., Western ghat region In Karnataka.)
- **Operational Maturity:** Include a mix of high-performing (best practices) and underperforming (areas for intervention) VDVKs.
- **Diversity of NTFPs:** Ensure inclusion of VDVKs specializing in different types of NTFPs (e.g., honey, medicinal plants, tamarind).
- Market Access: Select VDVKs with varied market access levels (local, regional, or national markets).
- Identify VDVKs with potential for renewable energy integration to assess technology fit.

Develop detailed research tools (questionnaires, interview guides), Conduct a secondary review of existing data on VDVKs through TRIFED, government reports, and NGOs. Finalize the list of VDVKs based on secondary data and discussions with stakeholders like TRIFED and local NGOs.

• Field Research (Weeks 2–5): Site Visits and Stakeholder Interactions

Site Visits: Target **10-12 VDVKs** from focused state ensuring diversity in size, maturity, and NTFP focus. Interim findings to be presented to SF team post site visits

Analysis and Reporting (Weeks 6–8)

Timeline

| Task | Duration | Key Milestones |
|------------------------------|----------|--|
| Week 1: Preparation | 1 week | Finalize VDVK selection and field tools |
| Weeks 2–5: Field Research | 4 weeks | Conduct site visits across 4 states (Karnataka, Odisha, Maharashtra, Assam), meet stakeholders, collect data |
| Weeks 6–7: Data Analysis | 2 weeks | Analyse data, identify gaps, and draft findings |
| Week 8: Reporting | 1 week | Finalize reports, recommendations, and deliverables |

6. Eligibility Criteria

- 1. Expertise and relevant experience in tribal livelihoods, NTFP value chains, community-based enterprise development.
- 2. Prior experience in conducting scoping studies, stakeholder mapping and program design.
- 3. Knowledge of renewable energy solutions and their application in rural contexts.
- 4. Strong analytical, research, and reporting skills.
- 5. Expertise and familiarity with the selected geography and its socio-economic and ecological context is preferred.

7. Submission Requirements

- 1. A detailed proposal outlining Program Plan, approach, methodology, and timelines for each focus area.
- 2. A financial quote with a breakdown of costs.
- 3. Profiles and relevant experience of the team.

4. Examples of similar past projects.

Note: Applicants are required to submit **separate applications for each state.** Each application should detail the research plan, methodology, and deliverables specific to the chosen state. Each researcher/ firm/ agency allowed to **apply for only one state.**

8. Payment Terms:

Fixed as per the agreement between consultant and SELCO Foundation. Please provide your proposal and quotation for the above-mentioned program requirements. Capture Timelines and Split cost wherever possible.

9. Application Process

If your enterprise meets the eligibility criteria and is interested in collaborating with the SELCO Foundation, please complete the application form linked below with the required information. Enterprises are requested to upload their proposals and quotations directly into the application form.

Provide split costs as per deliverables based:

Please provide your proposal and quotation as per deliverables based and mentioned program requirements. Capture Timelines and Split cost wherever possible.

Provide the proposal in each state wise separately.

10. To apply

Interested consultants / organisations, with relevant experience (please include samples and/or references of the previous similar work as proof of experience) and based out of India are requested to reach out with a detailed proposal giving a brief on the methodology and the process they will uptake for this project, including budgets (with break-ups and explanation), timelines and milestones and submit the same to google form https://forms.gle/xwnEN3UddcECjMUf7 on before **07th January**, **2025**.

Any further queries please write to procurement@selcofoundation.org with a subject line: "Research and Documentation in Van Dhan Vikas Kendras (VDVKs) in strengthening the Non-Timber Forest Products (NTFP) value chain" (Name of Project)

Refer Terms and Condition:

1. Sub-contracting:

In the event that the Consultant requires the services of subcontractors to perform any obligations under the Contract, the Consultant shall obtain the prior written approval of the Foundation. Any rejection or non-performance of the subcontractor shall not, in and of itself, entitle the Consultant to claim any delays in the performance, or to assert any excuses for the non-performance, of any of its

obligations under the Contract, and the Consultant shall be solely responsible for all services, obligations and deliverables performed by its subcontractors

2. Quality Assurance

The data submitted to Selco Foundation should be accurate, complete, reliable and relevant. Consulting agency shall establish additional layers for data cleaning and submission.

3. Financials & Reporting

TDS will be deducted on the fixed amount as per Income Tax Act and Rate of Percentage. In accordance with the Central Board of Direct Taxes circular No. 7 of 2022 dated 30th March, 2022 in relation to the clarifications with respect to Section 114AAA of the Income-tax Rules, 1962, failure to link Aadhar number to the PAN card and/or failure by any person, who falls within the income tax bracket or otherwise, to file tax returns in relation to payment of TDS for any service (in accordance with Section 206AB and 206AA) and/or an inoperative PAN card will result in a 20% tax deduction.

4. Indemnification

Both parties shall indemnify and hold its Trustees, Directors and representative officers, employees, agents harmless from and against any and all claims, demands, actions, losses, liabilities, charges, damages, costs and expenses (including but not limited to reasonable attorney's fees) arising out of or resulting from (1) any claims arising in connection with activities undertaken by both parties in connection with the project or (2) Consultant's gross negligence or willful misconduct or breach of any undertaking, covenant, representation or warranty contained in this agreement and/ or the actual infringement of any patent, trademark, copyrights, trade secret or any other intellectual property right of the

5. Patent, Copyright and other Proprietary Rights

- i. Except as is otherwise expressly provided in writing in the Contract, the Foundation shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Consultant has developed for the Foundation under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract. The Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for the Foundation.
- ii. Subject to the foregoing provisions, all documents, reports, recommendations, documents, and all other data compiled by or received by the Consultant under the Contract shall be the property of the Foundation, shall be made available for use or inspection by the Foundation at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to the Foundation's authorized officials on completion of work under the Contract
- iii. The Consultant will treat all information given to him/her as information with proprietary value and will not disclose the same to competitors or any outsiders. The Consultant will not at any time, except under legal process, divulge any trade or business secret relating to the Foundation or any customer or agent of the Foundation, which may become known to him by virtue of his position as consultant, save in so

far as such disclosure shall be necessary in the interest and for the benefit of the said Foundation and will be true and faithful to the Foundation in all dealings and transactions whatsoever relating to the said Foundation.

iv.Reports or other data that are developed specifically for the performance of this Contract shall be the property of the Foundation and the Consultant shall deliver reports and data to the Foundation as per the milestones. Dissemination of the reports and any information from the said contracts shall be done with written approval from the Foundation.

6. Publicity, use of name & Logo of the Foundation:

The Consultant shall not advertise or otherwise make public for purposes of commercial advantage or goodwill that it has a contractual relationship with the Foundation, nor shall the Consultant, in any manner whatsoever use the name, emblem, logo or official seal of the Foundation or that of SELCO in connection with its business or otherwise without the written permission of the Foundation.

7. Observance of Law:

- i.The Consultant shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the Contract.
- ii. The Consultant represents and warrants that neither it, its parent entities, partners or subcontractors nor any of its subsidiary or affiliated entities (if any) is engaged in any practice inconsistent with the rights set forth in the *Child Labour (Prohibition and Regulation) Act of 1986*, which, *inter alia*, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.
- iii.The Consultant represents and warrants that it shall adhere to the mandates prescribed under the Sexual Harassment of Women (Prevention, Prohibition & Redressal) Act, 2013, which requires all workplaces to have a Policy and Internal Committee to address complaints of sexual harassment that women may face at the workplace

8. Termination:

Either party may terminate this contract by giving a notice in writing to the other party stating their intention to terminate the same on the expiration of Seven (7) days from the date of such notice. In addition, the Foundation may also terminate this contract forthwith in the event of any fraud, misconduct or neglect of duties on the part of the Consultant. Any notice to be given hereunder shall be sufficiently given to the Consultant if forwarded by registered post or by Courier Service to the last known postal address of the Consultant and shall be sufficiently given to the Foundation if similarly forwarded to the registered office. Upon the termination of this contract and payment of the said fees due up to such termination, and payment of all disbursements and out-of-pocket expenses incurred up to the date thereof (provided the same have been incurred after obtaining prior approval), the Consultant shall deliver all deeds, documents and paper in his possession relating to the business of the Foundation or as the Foundation shall direct, and shall continue to afford him all reasonable assistance for concluding pending matters at the date of such termination without making any charge thereof.

9. Force Majeure:

- i. Force majeure as used herein means any unforeseeable and irresistible act of nature, any act of war (whether declared or not), invasion, revolution, insurrection, terrorism, or any other acts of a similar nature or force, provided that such acts arise from causes beyond the control and without the fault or negligence of the Consultant
- ii. In the event of and as soon as possible after the occurrence of any cause constituting *force majeure*, the affected Party shall give notice and full particulars in writing to the other Party, of such occurrence or cause if the affected Party is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under the Contract. The affected Party shall also notify the other Party of any other changes in condition or the occurrence of any event which interferes or threatens to interfere with its performance of the Contract. Not more than fifteen (15) days following the provision of such notice of *force majeure* or other changes in condition or occurrence, the affected Party shall also submit a statement to the other Party of estimated expenditures that will likely be incurred for the duration of the change in condition or the event of *force majeure*.
- iii.On receipt of the notice or notices required hereunder, the Party not affected by the occurrence of a cause constituting *force majeure* shall take such action as it reasonably considers to be appropriate or necessary in the circumstances, including the granting to the affected Party of a reasonable extension of time in which to perform any obligations under the Contract.
- iv.If the Consultant is rendered unable, wholly or in part, by reason of *force majeure* to perform its obligations and meet its responsibilities under the Contract, the Foundation shall have the right to suspend or terminate the Contract on the same terms and conditions as are provided for in this Contract.
- v.Both the Foundation and the Consultant fully and freely intend to create an independent Contractor relationship under this Contract. Nothing herein shall be deemed to establish a partnership, joint venture, association or employment relationship between the parties. Both parties agree that the consultant has the right to sole and exclusive control over the manner and means employed in performing their activities under this Contract.

10. Settlement of disputes:

i.The Parties shall use their best efforts to amicably settle any dispute, controversy, or claim arising out of the Contract or the breach, termination, or invalidity thereof.

Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably, within sixty (60) days after receipt by one Party of the other Party's written request for such amicable settlement, the matter shall be referred by either Party to arbitration in accordance with the Arbitration and Conciliation Act, 1996. The venue of the arbitration shall be at Bangalore. Likewise, the jurisdiction will vest with courts in Bangalore.